

SCHOOLS FUNDING FORMULA 2015-16

2013/14 – Final (OCT-12 Census)

Phase 13-14	Funding Increases	Funding Decreases	Affected Schools	Number of Affected Schools
Infant	10	2	75%	9
Junior	11	1	75%	9
Primary	23	12	69%	24
Secondary	10	8	78%	14
Total	54	23	73%	56

Capped at 2% + 12.5%	Increase below 2%	Protected	Reduction within -1.5%
8	2	1	1
8	3	1	0
16	7	8	4
9	1	5	3
41	13	15	8

Largest Cap	Smallest Cap	Average Cap	Largest mfg	Smallest mfg	Average mfg
89,811	7,633	43,236	16,801	16,801	16,801
102,355	39,461	76,171	21,616	21,616	21,616
54,164	903	21,761	103,659	3,726	46,668
236,576	59,606	120,736	273,517	78,704	163,475

2014/15 – Final (OCT-13 Census)

Phase 14-15	Funding Increases	Funding Decreases	Affected Schools	Number of Affected Schools
Infant	10	2	92%	11
Junior	8	4	92%	11
Primary	13	22	71%	25
Secondary	7	11	78%	14
Total	38	39	79%	61

Capped at 0% & 100% scaling factor	Increase below 0%	Protected	Reduction within -1.5%
10	0	1	1
8	0	3	1
13	0	12	10
7	0	7	4
38	0	23	16

Largest Cap	Smallest Cap	Average Cap	Largest mfg	Smallest mfg	Average mfg
58,175	12,498	34,675	12,346	12,346	12,346
93,136	32,149	65,622	22,839	2,071	15,322
43,349	2,015	21,758	124,054	2,900	38,445
120,667	28,361	63,594	483,767	5,048	155,427

2015/16 – INITIAL DRAFT (OCT-13 Census) Rates remain unchanged

Phase 15-16	Funding Increases	Funding Decreases	Affected Schools	Number of Affected Schools
Infant	10	2	92%	11
Junior	8	4	75%	9
Primary	16	19	60%	21
Secondary	7	11	61%	11
Total	41	36	68%	52

Capped at 0% & 100% scaling factor	Increase below 0%	Protected	Reduction within -1.5%
10	0	1	1
8	0	1	3
16	0	5	14
7	0	4	7
41	0	11	25

Largest Cap	Smallest Cap	Average Cap	Largest mfg	Smallest mfg	Average mfg
58,175	12,498	34,675	1,020	1,020	1,020
118,833	32,149	72,448	7,521	7,521	7,521
43,349	2,015	17,679	97,426	6,820	54,834
120,667	40,564	63,720	421,783	66,066	203,020

Issues:

- A) The initial draft allocation would not be compliant with the DfE guidelines whereby the Total Amount Capped cannot exceed the Minimum Funding Guarantee Protection Total.

Minimum Funding Guarantee Protection	£1,094,793	Cap / Scaling of Gains	-£1,655,229	Net Total	-£560,436	Compliant	No
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- B) The initial draft allocation based on last year's rates does leave the sum of £580,950 to be allocated through the formula, which could be through the increasing of the funding Factor Rate or/and permitting a cap/scaling factor gain from the Formula.

Formula Allocation	£158,620,632	Total Budget Available	£159,201,582	Unallocated Budget	£580,950
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- C) The initial draft model is using October 2013 Census data, which does not take into account of additional classes which were required as part of the Growth programme post the finalisation of the final allocations for the financial year 2014/15. There is a significant increase in the numbers in Primary Phase with a decrease in the Secondary Phase, which will be confirmed following the October 2014 Census has been finalised.

	Primary NOR	Secondary NOR	Total NOR
October 2013 Census Numbers	19,737	14,775	34,512
Estimated September 2014 Numbers*	20,510	14,737	35,247
Estimated Change in NOR Numbers	773	-38	735

*Estimated September 2014 numbers are derived from September 2014 Admissions intake numbers and from May 2014 Census data.

Proposal 1:

- A) Based on the previous data of October 2013, the re-introduction of the Cap to allow schools that are above the MFG Unit Value of the previous year to gain from the formula. 1.1% Cap limit introduced.

Phase 15-16	Funding Increases	Funding Decreases	Affected Schools	Number of Affected Schools
Infant	10	2	92%	11
Junior	8	4	75%	9
Primary	16	19	43%	15
Secondary	7	11	39%	7
Total	41	36	55%	42

Capped at 1.1% & 100% scaling factor	Increase below 1.1%	Protected	Reduction within -1.5%
10	0	1	1
8	0	1	3
10	6	5	14
3	4	4	7
31	10	11	25

Largest Cap	Smallest Cap	Average Cap	Largest mfg	Smallest mfg	Average mfg
49,607	4,060	1,020	1,020	1,020	1,020
107,063	23,726	7,521	7,521	7,521	7,521
31,172	1,174	97,426	97,426	6,820	54,834
74,827	40,530	421,783	421,783	66,066	203,020

Minimum Funding Guarantee Protection	£1,094,793	Cap / Scaling of Gains	-£1,074,293	Net Total	£20,500	Compliant	Yes
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Formula Allocation	£159,201,569	Total Budget Available	£159,201,582	Unallocated Budget	£13
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Issues:

- A) This is an interim measure to resolve the compliance issue of the MFG/Cap limits, which does not factor in the new data that will follow the finalisation of the October 2014 Census.

Estimated pupil numbers, based from locally held data, seems to show that an increase in Primary numbers will influence the distribution of funding and the amount of allocation per school

Minimum Funding Guarantee Protection	£1,206,678	Cap / Scaling of Gains	-£1,072,526	Net Total	£134,152	Compliant	Yes
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Formula Allocation	£161,840,639	Total Budget Available	£162,706,565	Unallocated Budget	£865,926
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Proposal 2:

- A) Based on the previous data of October 2013, the reversal of the 1% cut per factor has been sought. AWPU, FSM IDACI2, IDACI4, IDACI5, IDACI6, EAL3, Mobility are the factors that were reduced in 2014/15.

Phase 15-16	Funding Increases	Funding Decreases	Affected Schools	Number of Affected Schools
Infant	11	1	92%	11
Junior	10	2	83%	10
Primary	27	8	89%	31
Secondary	14	4	100%	18
Total	62	15	91%	70

Capped at 0% & 65% scaling factor	Increase below 0%	Protected	Reduction within -1.5%
11	0	0	1
10	0	0	2
27	0	4	4
14	0	4	0
62	0	8	7

Largest Cap	Smallest Cap	Average Cap	Largest mfg	Smallest mfg	Average mfg
66,293	7,125	39,725	0	0	0
130,575	5,956	67,744	0	0	0
56,827	2,194	21,960	79,730	3,414	52,943
164,945	11,923	71,421	387,329	32,010	169,259

Minimum Funding Guarantee Protection	£885,058	Cap / Scaling of Gains	-£2,718,543	Net Total	-£1,833,485	Compliant	No
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Formula Allocation	£158,765,592	Total Budget Available	£159,201,582	Unallocated Budget	£435,990
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Issues:

- A) This is not feasible as it is not compliant with the DfE guidelines. With the enabling of gains to a cap limit (2.65%) or scale factor on the MFG Unit Value, that will lead to the over-allocation based on the available budget for the formula, circa £1.4m in excess of the budget available.

Minimum Funding Guarantee Protection	£885,058	Cap / Scaling of Gains	-£874,203	Net Total	£10,855	Compliant	Yes
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Formula Allocation	£160,609,932	Total Budget Available	£159,201,582	Over-allocated Budget	-£1,408,350
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Estimated pupil numbers, based from locally held data, seems to show that an increase in Primary numbers will help reduce the over-allocation but this is proposal is not feasible at this moment in time, and we have to await the final data to confirm whether this proposal is discounted. This model had a cap of 2.36% applied.

Minimum Funding Guarantee Protection	£979,855	Cap / Scaling of Gains	-£978,380	Net Total	£1,475	Compliant	Yes
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Formula Allocation	£163,150,696	Total Budget Available	£162,706,565	Over-allocated Budget	-£444,131
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Proposal 3:

- A) Based on the previous data of October 2013, an increase in the Deprivation factor of FSM for Primary and Secondary is recommended to be introduced in the final submittal, in conjunction with a potential increase in the AWPU for Primary and Secondary Phase rates.

A 2% increase in the FSM Factor is applied with a 0.5% increase in the AWPU rates, with an increase of the cap to 2.0% with 100% scaling factor (no gains above 2.0%).

Phase 15-16	Funding Increases	Funding Decreases	Affected Schools	Number of Affected Schools
Infant	11	1	83%	10
Junior	10	2	75%	9
Primary	24	11	34%	12
Secondary	13	5	39%	7
Total	58	19	49%	38

Capped at 0% & 65% scaling factor	Increase below 0%	Protected	Reduction within -1.5%
10	1	0	1
8	2	1	1
8	16	4	7
3	10	4	1
29	29	9	10

Largest Cap	Smallest Cap	Average Cap	Largest MFG	Smallest MFG	Average MFG
47,264	656	22,542	0	0	0
103,532	20,926	58,144	2,453	2,453	2,453
28,723	5,181	13,751	85,536	4,899	57,534
62,913	28,970	49,847	398,221	46,557	181,641

Minimum Funding Guarantee Protection	£959,153	Cap / Scaling of Gains	-£950,113	Net Total	£9,040	Compliant	Yes
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Formula Allocation	£159,994,272	Total Budget Available	£159,201,582	Over-allocated Budget	-£792,690
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Estimated pupil numbers, based from locally held data, seems to show that an increase in Primary numbers will help reduce the over-allocation but the application of this proposal will be explored on the final dataset that we receive in December.

Phase 15-16	Funding Increases	Funding Decreases	Affected Schools	Number of Affected Schools
Infant	11	1	83%	10
Junior	10	2	75%	9
Primary	24	11	34%	12
Secondary	13	5	39%	7
Total	58	19	49%	38

Capped at 0% & 65% scaling factor	Increase below 0%	Protected	Reduction within -1.5%
10	1	0	1
8	2	1	1
8	16	4	7
3	10	4	1
29	29	9	10

Largest Cap	Smallest Cap	Average Cap	Largest MFG	Smallest MFG	Average MFG
44,452	755	22,544	0	0	0
105,602	21,371	59,786	2,587	2,587	2,587
29,231	2,644	13,361	97,210	4,994	64,984
58,213	22,944	46,256	455,211	49,588	199,122

Minimum Funding Guarantee Protection	£1,059,012	Cap / Scaling of Gains	-£949,378	Net Total	£109,634	Compliant	Yes
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Formula Allocation	£162,635,021	Total Budget Available	£162,706,565	Unallocated Budget	£71,544
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